

1L99/639



STATE OF ISRAEL

PCT/IL 99 / 00639  
09/856761

REC'D 13 DEC 1999

WIPO PCT

This is to certify that  
annexed hereto is a true  
copy of the documents as  
originally deposited with  
the patent application  
particulars of which are  
specified on the first page  
of the annex.

זאת לתעודה כי  
רצופים בזה העתקים  
נכונים של המסמכים  
שהופקדו לכתחילה  
עם הבקשה לפטנט  
לפי הפרטים הרשומים  
בעמוד הראשון של  
הנספח.

**PRIORITY  
DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

29 -11- 1999

This \_\_\_\_\_ היום

רשם הפטנטים  
Registrar of Patents

Best Available Copy

נתאשר

לשימוש הלשכה  
For Office Use

127314

מספר:  
Number

תאריך:  
Date

27-11-1998

הוקדם/נדחה:  
Ante/Post-dated

חוק הפטנטים, תשכ"ז - 1967  
PATENTS LAW, 5727-1967

## בקשה לפטנט

Application For Patent

אני, (שם המבקש, מענו ולגבי גוף מאוגדת מקום התאגדותו)  
I, (Name and address of applicant, and in case of body corporate-place of incorporation)

אלגוטק מערכות בע"מ, חברה ישראלית, מרח' המלאכה 4, איזור התעשיה, רעננה 43661, ישראל  
Algotec Systems Ltd., Israeli company, of 4 Hamelacha St., Indust. Zone, Raanana 43661, Israel  
The inventors are: Gad MILLER  
Shmuel ACKERMAN

הממציאים הם: גד מילר  
שמואל אקרמן

שמה הוא  
Right of Law  
of an invention the title of which is

בעל אמצאה מכח  
Owner, by virtue of

שיטה ליצירת דמות פרספקטיבית ממרחב ווקסלים

(בעברית)  
(Hebrew)

A Method for Forming A Perspective Rendering from A Voxel Space

(באנגלית)  
(English)

Hereby apply for a patent to be granted to me in respect thereof.

מבקש בזאת כי ינתן לי עליה פטנט

* בקשת חלוקה * Application of Division		* בקשת פטנט מוסף * Appl. for Patent of Addition		דרישת דין קדימה * Priority Claim		
מבקשת פטנט from application		לבקשה/לפטנט to Patent/Appl.		מספר/סימן Number/Mark	תאריך Date	מדינת האיגוד Convention Country
No.	מס'	No.	מס'			
Dated	מיום	dated	מיום			
P.O.A.: General		* יפוי כח : כללי				
To be filed		עוד יוגש				
המען למסירת מסמכים בישראל Address for Service in Israel						
REINHOLD COHN AND PARTNERS Patent Attorneys P.O.B. 4060, Tel-Aviv				C. 114872.5		
חתימת המבקש Signature of Applicant				היום This		
For the Applicants, REINHOLD COHN AND PARTNERS By : —				1998 שנת November of the year		
				26 of		
				לשימוש הלשכה For Office Use		

טופס זה כשהוא מוטבע בחותם לשכת הפטנטים ומושלם במספר ובתאריך ההגשה, הנו אישור להגשת הבקשה שפרטיה רשומים לעיל.  
This form, impressed with the Seal of the Patent Office and indicating the number and date of filing, certifies the filing of the application the particulars of which are set out above.

\* מחק את המיותר  
Delete whatever is inapplicable

**שיטה ליצירת דמות פרספקטיבית ממרחב ווקסלים**

**A Method for Forming A Perspective Rendering from A Voxel Space**

**The inventors are: Gad MILLER**

**בממציאים הם: גד מילר**

**Shmuel ACKERMAN**

**שמעון אקרמן**

**Algotec Systems Ltd.**

**אלגוטק מערכות בע"מ**

**C.114872**

## A Method for Forming A Perspective Rendering from A Voxel Space

### FIELD OF THE INVENTION

This invention relates to a method for forming a perspective rendering from a space of volume elements (voxels). More specifically, this invention relates to a family of algorithmic optimizations, which are useful in the  
5 forming of a perspective rendering from a voxel space.

### BACKGROUND OF THE INVENTION

Many quantitative disciplines collect or generate multidimensional data. These disciplines include medical imaging applications such as CT or  
10 MRI; geophysical modeling, meteorological forecasting, scientific simulations, animation models, and the like. This multidimensional data is often stored and manipulated in the form of voxels. Voxels are volume elements in three (or more) dimensions; and are analogous to pixels (two dimensional picture elements).

15 Professionals often find it useful to be able to visualize some aspect of voxel data. The visualization requires transforming the voxel data, so that a cross-section, a projection, or another form of visualization can be realized on a two-dimensional display device. Numerous visualization techniques have been explored, and most are unfeasible for application; by reason of

computational complexities associated therewith. Nevertheless, there are certain basic desirable aspects of visualization standards for accepted renderings (representations).

5 The professional expects the visualization to facilitate elevated insights and to evoke increased understanding of the data. This is often accomplished by imposing (onto a rendering of the data) subjective criteria such as depth, shading, perspective, lighting, or shadowing; which are not necessarily generic to the data being rendered. For example, depth or shadow are not natural features of geophysical cross-sections; but may be helpful to the professional who is looking for ways to understand such a complex data set. 10 Alternately, the professional may expect the visualization to be life-like (of realistic appearance).

The result of the professionals' rendering expectations and the computational complexity of accomplishing them has generated a cluttered 15 convolution of rendering techniques. Some techniques have been developed which are specific to rendering certain data sets, while other techniques are seemingly more general in scope.

The nature of the prior art (of rendering a voxel space) can be better appreciated from studying US5201035, US5499323, US5594844, and from 20 the prior art references cited therein. Furthermore, the order of complexity required for successful algorithmic optimization, in forming a perspective rendering from a voxel space, will thereby be appreciated.

The prior art is problematic and primarily application specific. Many overlapping combinations of more fundamental graphics algorithms are used 25 in an attempt to simultaneously provide adequate rendering within algorithmic bounds that are economically and technically practical. Many examples of prior art methods are visually realistic but algorithmically heavy, and many other examples of the prior art are visually simplistic albeit

algorithmically feasible. Thus, there is a need in the art for rendering methods that are simultaneously visually realistic and algorithmically practical.

## SUMMARY OF THE INVENTION

- 5           The present invention relates to a method for forming a high spatial resolution perspective rendering from a low spatial resolution voxel space, the method including the steps of: (a) **initializing** a virtual window of predetermined resolution pixels, and placing the virtual window in or near the voxel space; (b) from a predetermined vantage-point, sparsely **ray-casting** a
- 10 plurality of vectors through the virtual window into the voxel space; (c) **calculating** a visualization-value for each ray-cast vector not having an associated visualization-value, and therein for each position in a step dependent series of positions on the vector, and in a position ordering of steps from the vantage-point through the pixel, until an accumulated
- 15 transparency-value threshold is reached or until a last available voxel intersecting with the vector is reached - whichever is sooner; by: *FIRSTLY interpolating* values of proximate voxels into an interpolated voxel value for the position; *SECONDLY transforming* the interpolated voxel value into a derived visualization-value and transparency value; and *THIRDLY*, using a
- 20 predetermined selection rule, *accumulating* the derived visualization-value with a value presently stored in the corresponding pixel; and (d) until every pixel has an associated visualization-value, **selecting** a pixel (P) not yet having a visualization value, and *IF* substantially nearest pixels to the selected pixel (P) have computed visualization-values that are statistically
- 
- 25 homogenous, *THEN interpolating* a visualization-value from these substantially nearest pixels, and **assigning** the interpolated visualization-value to the selected pixel (P), *ELSE ray-casting* a vector

through the selected pixel (P) and assigning a visualization-value to the selected pixel (P) using *step (c)*.

The present invention provides a feasible method, both visually and algorithmically, for forming a high spatial resolution image perspective  
5 volume rendering from a low spatial resolution voxel space. The data, to be rendered into a visualization, is represented in a three-dimensional array of volume elements (voxels). Since the data stored in the voxels is not necessarily of a format, magnitude, or distribution useful for representation (e.g. on a display device such as a TV screen, a plotter, or the like), a  
10 transformation step is required in order to conform the data to a form that may be presented on a display device. These classes are used to transform one or more ranges of voxel values to doublets of a transparency-value and a representation-value (e.g. RGB for color, or gray-level, or the like). Recall that transparency-value and opacity-value are inverse quantifications of the  
15 same visualization aspect, so it is only by convenience of conceptualization that the present invention is described according to transparency-values or opacity-value.

According to the present invention, some interpolations are done before voxel value to visualization value transformations while other  
20 interpolations are done after these transformations. Interpolation is one of the key tools used to render a high-resolution image from a lower resolution representation. There are many functions that have proven useful for performing interpolations. Interpolation computationally solves for a value at a selected position from two or more neighboring positions. Interpolation  
25 functions may even consider all known locations having data-values to solve for a single location whose data-value is sought. In general, interpolation functions can be ordered; either in the same sense as polynomials, or according to a measure of algorithmic-computational complexity.

The tradeoff between low-order interpolations (e.g. linear), intermediate-order interpolations (e.g. cubic or spline), and higher-order interpolations directly affects the visual smoothness of appearance for images wherein interpolations have been used to synthetically create values for  
5 locations where no such values existed in the original data. Even for intermediate-order interpolations, there is a large algorithmic expense.

Therefore, in visualization applications, normally low-order interpolations are used for solving at all interpolation-requiring positions, except for a most critical sub-set of positions, such as positions close enough  
10 to the eye (e.g. foreground objects and not backgrounds). Furthermore, switching between different order interpolations is noticeable, and requires some secondary compensatory mechanism – especially if the criterion is proximity to the eye. These special considerations, for preserving an appearance of smoothness, are especially important because - often an object  
15 of the visualization is to maintain the clear resolution of distinct class boundaries (in the form of continuous iso-surfaces of voxel-values – as explained below).

In the context of the present invention:

- (i) An “iso-surface” is a manifold in space in which the interpolation function  
20 of the voxel values is constant.
- (ii) A “class” is the region in space in which the interpolation function assumes values that belong to a predefined interval.

Therefore, by the continuity of the interpolation function, it follows that a class is bounded by the iso-surface(s) corresponding to the edge(s) of the  
25 class(s) interval. It should be appreciated that in the context of the present invention the iso-surfaces that are “interesting” with respect to processing are the class bounding iso-surfaces.

Another significant aspect in rendering a visualization of data relates to lighting. There are two basic types of lighting: surface lighting and volume



lighting. Surface lighting occurs (is desired) when an external light source illuminates the surfaces of the classes. In the context of the preferred embodiment of the present invention, surface lighting originates from the same predetermined vantage-point as the "eye of the observer". By contrast,  
5 volume lighting derives from (is desired when) one or more voxels, in the "voxel space", are of themselves sources of illumination (radiant). In the context of several embodiments of the present invention, either surface lighting is used exclusively or volume lighting is used to complement surface lighting. According to one embodiment of the present invention, volume  
10 lighting is used exclusively.

Qualitatively, surface lighting values are proportional to the cosine of the angle formed between a vector connecting the eye and a point on an iso-surface and a normal to the iso-surface at that point; according to the embodiment where the light source is coterminous with the eye.

15 Qualitatively, volume lighting is proportional to the luminance (radiance) associated with one or more points in the voxel space, the distance between the luminescent point and the vantage-point (the eye), and the opacity (or equivalently but inversely - the transparency) of relevant voxels between the luminescent point and the vantage-point (the eye).

20 Qualitatively, opacity accumulates differently (between each voxel and the eye) according to the lighting model: surface, volume, or combination. In general, cumulative opacity represents the "Fraction of Light" ("*FoL*") (also referred to as "CT" - Cumulative Transparency) reaching the eye from a point in the voxel space; due to the light absorptive nature of the medium between  
25 the two (the eye and the point). Furthermore, it should be noted that the spatial precision necessary for successful rendering of surface lighting is significantly higher than that required for successful volume lighting.

According to the volume lighting model, luminescence (radiance) of the point is scaled (attenuated) by *FoL* by the time it reaches the eye.

According to the surface lighting model, luminescence (radiance) of the eye is scaled (attenuated) by  $FoL * FoL$  by the time it completes the path of from the eye to point and back to the eye. Furthermore, the surface normal direction used in the surface lighting calculation for iso-surfaces is determined using  
5 any one of many well-known analytic estimates for a gradient (of the interpolated iso-surface).

Accumulating a transparency value into a pixel is by updating the present transparency value for the pixel:  $CT* = T^{\text{current step size}}$  (see Figure 5); the present transparency value for the position on the vector exponentiated  
10 by the distance on the vector from the present position to the last position accumulated into the pixel; and the present transparency value for the position on the vector is a unit normalized transparency value.

A further significant aspect in "the process of volume rendering a  
15 visualization of data" relates to a well-known general technique called "ray-casting". Ray-casting substantially simulates a plurality of optical-information vectors that converge at the focal point of the eye. Equivalently, ray-casting simulates an algorithmic process wherein an accumulation of optical-information is organized with respect to a plurality of  
20 coterminous vectors; emanating outward from the eye. This algorithmic process enables a truncation of the vector (the cast ray) when the cumulative transparency of the traversed path becomes negligible.

According to the present invention, positions along the vector are selected for accumulating transparency values, and the step size between a  
25 present position and a next position is selected to be dependent on the opacity at the present position; albeit minimal and maximal step sizes limit the bounds of this proportionality. Furthermore, a change of class is detected by noting a change in opacity between two consecutive steps on a vector. Furthermore, according to the preferred embodiment of the present invention, in a region

traversed by the vector. having a high transparency the step size is large and in a region traversed by the vector having a low transparency the step size is small.

According to the preferred embodiment of the present invention,  
5 volume lighting contributions are produced everywhere, whereas surface lighting contributions are only produced when crossing from a class of lower opacity to a class of higher opacity. This crossing is at a surface that is facing the eye (a front surface), while a crossing from higher to lower classes of opacity is a "hidden" surface (a back surface). For example, in a medical data  
10 context if a vector traverses through a mucus and encounters a tissue, then this encounter is a front surface; while the continuation of the vector may pass again into a mucus and this "second passing" is a back surface.

According to the criteria (for interpolation) for preserving an appearance of smoothness in the rendering of continuous iso-surfaces, a high  
15 order of spatial precision is necessary whenever a front surface's surface lighting contribution (to the cumulative opacity of the ray-cast vector) is to be calculated. Therefore, according to the preferred embodiment of the present invention, whenever a front surface is detected between two consecutive steps – a smaller step-size search is performed between the two "consecutive  
20 steps". This search is in order to achieve a higher order of spatial positioning for a point on the front surface intersecting with the vector; and also for the normal to this point on the iso-surface.

Given the special significance of these front surfaces to the rendering process, an additional layer of processing is introduced. This processing is  
25 intended to substantially prevent a situation from occurring wherein two consecutive steps skip over a front surface without detecting it. Continuing in the medical context example, suppose there is a membrane (or thin film of tissue or a bone fragment, etc) in the mucus, that is tangential to the vector. Because the membrane is surrounded by a transparent substance, the step size

risks "jumping over" the membrane without detecting the existence of the membrane.

- Therefore according to the preferred embodiment of the present invention, an opacity-perturbation operation ("opacification") is performed.
- 5 This opacification process is intended to prevent jumping over front surfaces without detecting their presence. In the opacification process, a table (or equation) is prepared from which an opacity value can be assigned to each voxel value. According to this table (or equation), opacity for each voxel value is taken as the maximum value of a predetermined neighborhood with
- 10 respect to the original voxel value. Furthermore, step size on the vector is according to this table. Because this table effectively flags regions wherein there may be a front surface, the step size on the vector is always reduced whenever the vector is passing in the region of a front surface; even if there is no actual intersection between them. The perturbed values from the table (or
- 15 equation) are NOT used in the accumulation of a cumulative opacity; and are only used as a conservative strategy for properly optimizing (better choosing of appropriate) step sizes so as to achieve a visually acceptable rendering.

- According to the present invention, another aspect in the process of volume rendering relates to selective ray-casting. As can be appreciated from
- 20 the forgoing aspects of processes involved in volume rendering, each actual vector that is cast (from the eye), and by virtue of which opacity data is accumulated, causes a measure of algorithmic complexity to be added into the cost of a volume rendering. Furthermore, it should be appreciated that an object of the present invention is to produce a high spatial resolution
- 25 perspective rendering from a low spatial resolution voxel space.

---

Recalling that the central tradeoff in volume rendering is to provide a feasible method (both visually and algorithmically), the preferred embodiment of the present invention is directed to minimizing the extent to which actual

ray-casting is performed. According to a first approximation of this minimizing of ray-casting, a predetermined plurality of rays are cast.

According to one embodiment of the present invention, this predetermined plurality is distributed in a symmetric regular dispersion such  
5 that values for all intermediary rays can be interpolated from the cast rays without any additional ray-casting.

According to another embodiment of the present invention, intermediary rays are cast whenever the accumulated values from the heretofore cast rays are not statistically homogenous, and are otherwise  
10 interpolated.

According to a variation embodiment of the present invention, a first plurality of regularly distributed rays are cast; and in each region between these regularly cast rays a statistical homogeneity metric is computed. From these cast rays – *EITHER* values in the region are interpolated from  
15 neighboring cast-rays' values *OR* a next plurality of regularly distributed rays are cast in the region, and a next resolution metric is computed. This either-or processing is repeated *UNTIL* values for all rays in a desired spatial resolution of rays have associated values. This variation embodiment is an iterative zoom-in value filling process that uses, as appropriate, the previous  
20 embodiments of the present invention.

In general, the present invention can be defined as "A method for forming a high spatial resolution perspective rendering from a low spatial resolution voxel space". This method includes the four steps ("a" through  
25 "d") of:

(a) **initializing** a virtual window of predetermined resolution pixels, and placing the virtual window in or near the voxel space;

(b) from a predetermined vantage-point, sparsely ray-casting a plurality of vectors through the virtual window into the voxel space;

5 (c) (i) for each ray-cast vector not heretofore having an associated visualization-value,

(ii) and therein for each position in a step dependent series of positions on the vector,

10 (iii) and therein in a position ordering of from the vantage-point through the pixel,

(iv) and therein until an accumulated transparency-value threshold is reached or until a last available voxel intersecting  
15 with the vector is reached - whichever is sooner;

calculating a visualization-value and storing this visualization-value into the vector's corresponding pixel; by:

20 *FIRSTLY interpolating* values of proximate voxels into a interpolated voxel value for the position;

*SECONDLY transforming* the interpolated voxel value into a derived visualization-value and transparency value; and

25

*THIRDLY*, using a predetermined selection rule, *accumulating* the derived visualization-value with the value presently in the corresponding pixel; and

(d) until every pixel has an associated visualization-value, selecting a pixel (P) having the initialization from *step (a)*, and

5                    *IF* substantially nearest pixels to the selected pixel (P) have computed visualization-values that are statistically homogenous,

10                    *THEN* interpolating a visualization-value from these substantially nearest pixels, and assigning the interpolated visualization-value to the selected pixel (P),

15                    *ELSE* ray-casting a vector through the selected pixel (P) and assigning a visualization-value to the selected pixel (P) using *step (c)*.

In relation to these four steps ("a" through "d" - above) and to the conditions and sub-steps therein, a few summary observations are worthy of note.

20                    In step (a), according to the preferred embodiment of the present invention, the spatial resolution of the pixels is higher than that of a cross-section of voxels in the voxel space.

25                    In step (b), if the vantage-point is far from the virtual window, then the rays cast through the virtual window will effectively be parallel each to another. Alternately, if the vantage-point is close to the virtual window, then the rays cast will effectively be approximating a divergent perspective; as subtended through the angle defined by the virtual window.

                    In step (c), all four conditions must be satisfied in order for the "calculating and storing" operation to be performed.

In step (c) condition (i), note that vectors are cast in step (b) and also in step (d) (at the "ELSE" sub-step).

In step (c) condition (ii), the "calculating and storing" are independently done for each position on the vector.

5 In step (c) condition (iii), there are two possible orderings in ray-casting. These orderings depend on whether the virtual window is between the voxel space and the vantage-point (as is the case for the present description); or equivalently whether the voxel space is between the vantage-point and the virtual window. The expression of "from the  
10 vantage-point through the pixel" is a convenient choice of nomenclature, which is not intended to exclude any equivalent logically consistent ordering. For example, if the virtual window were to be placed in the midst of the voxel space or if the vantage-point were to be placed in the midst of the voxel space, then there would be optional orderings such as:

- 15     ▪ From the vantage-point to the virtual window.
- From the vantage-point through the virtual window.
- From the virtual window away from the vantage-point.
- From the virtual window to (or through) the vantage-point.
- From the end of the voxel space to the virtual window.
- 20     ▪ From the end of the voxel space to the virtual window; and  
      thereafter to (or through) the vantage-point.

In step (c) condition (iv), "whichever is sooner" relates to accumulating transparency until further accumulation will not effect the visualization or until there is no further data to effect the visualization.

25 Furthermore, "transparency threshold" is a lower bound (or if stated equivalently – to an opacity threshold that is an upper bound).

In step (c) "FIRSTLY", there are numerous methods for accomplishing the interpolation.



In step (c) "SECONDLY", the transforming is according to the representation that is desired on a display device. Should the transforming be for interfacing with further image processing, then the transforming is according to the values appropriate to that processing.

- 5 In step (c) "THIRDLY", the accumulating is according to a lighting model, such as the surface lighting model, the volume lighting model, a combination of these two lighting models, a lighting model wherein the illumination source is not coterminous with the eye, or the like.

- 10 In step (d), it should be recognized that if the sparse ray-casting of step (b) is sufficient (as might be the case when implemented using parallel processing on the order of a processor per cast ray), then the supplemental ray-casting of the "ELSE" sub-step may never occur. This is not particularly problematic given the visual and algorithmic considerations motivating the method of the present invention.

- 15 It should also be appreciated that when there is a regular distribution of cast rays through the virtual window (in step (d) or even if done directly from step (b)) and when this distribution describes a spatial resolution in the virtual window that is higher than the spatial resolution of a cross section of the voxel space, then step (d) is expected to reduce to "selecting" and  
20 "interpolating" substantially without any further "ray-casting".

## BRIEF DESCRIPTION OF THE DRAWINGS

- In order to understand the invention and to see how it may be carried out in practice, a preferred embodiment will now be described, by way of non-limiting example only, with reference to the accompanying drawings, in  
25 which:

Figure 1 is a schematic diagram of ray-casting geometry;

Figure 2 is a schematic mapping of a ray-casting ordering on a virtual window;

Figure 3 is a schematic diagram of a search on a ray for an iso-surface;  
Figure 4 is a schematic diagram of a ray cast near an opacified iso-surface;  
Figure 5 is a flowchart summarizing the basic logic process of the present method; and

- 5 Figure 6 is a schematic diagram of a computer system for forming a perspective rendering from a voxel space.

### DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

The present invention relates to a method for forming a high spatial  
10 resolution perspective rendering from a low spatial resolution voxel space.  
(Turning to Figure 1, which is a schematic diagram of ray-casting geometry.  
This geometry is a typical geometry that is used in many embodiments of the  
present invention.) The method includes the four steps of:

- 15 (a) **Initializing** a virtual window (1) of predetermined resolution  
pixels (2) (3), and placing the virtual window in or near the voxel  
space (4). These pixels are logical storage elements corresponding  
to coordinates or regions of the virtual window. Normally, there is  
a simple and direct transformation from the geometry of the pixels  
20 of the virtual window to a display device (e.g. CRT, LCD, plotter,  
etc.).

- (b) From a predetermined vantage-point (5), sparsely ray-casting a  
plurality of vectors (6) (7) through the virtual window into the  
voxel space. (Turning to Figure 2, which is a schematic mapping  
25 of a ray-casting ordering on a virtual window. For example first  
casting rays through the pixels marked "X", then casting rays

through the pixels marked "O", and finally casting rays or interpolating for the unmarked pixels – as in step (d) below.)

5 (c) (Turning now to **Figure 3**, which is a schematic diagram of a search on a ray for an iso-surface) For each ray-cast vector not heretofore having an associated visualization-value, and therein for each position in a step dependent series of positions on the vector (for example (8) (9) (10)), and therein in a position ordering of from the vantage-point through the pixel, and therein until an  
10 accumulated transparency-value threshold is reached or until a last available voxel intersecting with the vector is reached - whichever is sooner; **calculating** a visualization-value **and storing** this visualization-value into the vector's corresponding pixel; by: *FIRSTLY interpolating* values of proximate voxels into an  
15 interpolated voxel value for the position (for example from voxels in the neighborhood of position (10) on the vector); *SECONDLY transforming* the interpolated voxel value into a derived visualization-value and transparency value; and *THIRDLY*, using a predetermined selection rule, *accumulating* the derived  
20 visualization-value with the value presently in the corresponding pixel.

(d) Until every pixel has an associated visualization-value, **selecting** a pixel (P) having the initialization from *step (a)*, and *IF*  
25 substantially nearest pixels to the selected pixel (P) have computed visualization-values that are statistically homogenous, *THEN interpolating* a visualization-value from these substantially nearest pixels, and **assigning** the interpolated visualization-value to the selected pixel (P), *ELSE ray-casting* a vector through the selected

5 pixel (P) and assigning a visualization-value to the selected pixel (P) using *step (c)*. According to many voxel space-rendering applications, statistically homogenous is measured with respect to computed values stored in pixels or with respect to depth factors associated therewith. (In this context "depth factors" relate to the distance from the eye to the position on the ray in which the ray casting was terminated, due to reaching the transparency value threshold or reaching the end of the voxel space.)

10 According to the preferred embodiment of the method of the present invention, a next position in *step (c)*, in the (transformed – see step (c) "SECONDLY") step-dependent series of positions along the vector, is selected using a variable step size from a present position; and the variable step size depends on an associated transparency for the transparency value of  
15 the present position. For most applications the step size increases with the transparency. Nevertheless there may be applications wherein the visualization of the transparent portions of the transformed voxel space is the important aspect of the rendering.

20 According to most embodiments of the present invention, the step size from the present position to the next position is never greater than a voxel cross-section for the voxel space. This maintains that the ultimate visual quality of the image (being captured in the pixels of the virtual window for eventual display on a display device of media) will not be less than the spatial resolution of the voxel space.

25 According to another embodiment of the present invention, a first present position (of the transformed step-dependent series of positions) along the vector is at the pixel corresponding to the vector. This is equivalent to disregarding any portion of the voxel space that is between the vantage-point

and the virtual window. According to the preferred embodiment, the first present position is at the eye (vantage point).

According to the preferred embodiment of the present invention, every next position (of the transformed step-dependent series of positions) is tested  
5 such that IF a (interpolated) voxel-value class iso-surface (as heretofore defined) has been detected along the vector between the next position and the present position, by virtue of the indication that the transparency value of the next position is smaller than that of the present position, THEN at least one position between the present and next positions is examined to provide a  
10 higher spatial resolution positioning for the iso-surface. For example in the series of position on the cast ray in Figure 3, positions (11) and (12) are on opposite sides of an iso-surface (13). If the computed visualization value for position (12) is greater than that computed at position (11) then the front facing portion of the iso-surface has been traversed by the cast ray. This  
15 constitutes the detection of a class iso-surface.

Proceeding (for example with a search) back to test a position (14) and forward to a position (15) will increase the precision of the contribution by the iso-surface to the visualization value. Stated more formally, between firstly the at least one position and secondly either the present or next  
20 positions, a further at least one position is examined to provide a higher spatial resolution positioning for the iso-surface. Furthermore, further examinations of positions are performed until a predetermined precision is achieved in locating the detected iso-surface.

According to an alternate embodiment of the present invention, every  
25 next position (of the transformed step-dependent series of positions) is tested such that IF a class iso-surface has been detected along the vector between the next position and the present position, by virtue of the indication that the transparency value of the next position is smaller than that of the present

position, THEN a position between the present and next positions is assigned as the location for the detected iso-surface.

Another optional enhancement which is applied to embodiments wherein iso-surfaces are detected relates to a visualization-value for the detected iso-surface being applied to the vector's associated pixel (by finding a normal (25) to the iso-surface (26) at the vector intersection point or equivalently) using a contiguous gradient to the vector iso-surface intersection location or from proximate elements of the intersection. This is applied by calculating a current surface lighting contribution to the cumulative visualization-value, and accumulating this surface lighting visualization-value into the pixel. The surface lighting contribution is the visualization-value at the intersection position multiplied by the cosine of the angle (27) formed by the vector and the normal to the iso-surface at that location (28); and multiplying that by the cumulative transparency (accumulated in the associated pixel) squared.

According to the preferred embodiment of the present invention, an interpolated voxel value is calculated for a position along the vector from proximate voxels: using cubic interpolation for a position close to the vantage-point, or using linear interpolation for a position far from the vantage-point, or using a distance dependent graded mixture of cubic and linear interpolation for a position of a predetermined intermediate distance from the vantage-point. This is the preferred embodiment since the calculations are simple, the results are smooth and visually continuous, and the weighting of algorithmic efforts is high precision for close positions to the eye-with-a-smooth-decrease-of-precision-as-the-position-is-further-from-the-eye. Equivalently, any interpolation function, which traverses smoothly from high order precision for close positions to lower order precision for more distant position, is acceptable.

It should be appreciated that transparency values are unit normalized ("specific transparency"). In other words, the transparency values at each location denote the transparency corresponding to a "slab" of unit thickness. Usually the step size is not of a unit length. Therefore to calculate the  
5 transparency for the volume traversed by this step (interval), the unit normalized transparency value is exponentiated by the actual step size. Accumulating a transparency value into a pixel is by: multiplying the transparency value of the pixel by the transparency value for the interval.

According to the present invention, the predetermined selection rule of  
10 accumulating in step (c) includes a front surface detection and a surface-lighting. Furthermore, according to the preferred embodiment of the present invention, selecting a next position in the step dependent series of positions is coordinated with an opacification process.

15 According to the preferred embodiment of the present invention, class iso-surfaces are opacified to facilitate a higher probability of detection. (Turning to Figure 4, which is a schematic diagram of a ray cast near an opacified iso-surface.) The opacification is performed by stretching the intervals defining the classes, where the more opaque classes take precedence.  
20 This has the effect that the iso-surfaces are shifted (inflated) from their actual location outward (to enclose less opaque regions). Iso-surfaces (16) has been opacified into expanded surface "buffers" bounded by iso-surface (17). On the cast ray (6), steps (20), (21) and (22) occur at regular intervals along the ray. At position (22) an opacity increase is detected because of the  
25 opacification. Therefore, the step size to (23) is reduced and the actual class iso-surface (16) is more likely to be detected. If the opacification were not performed, then the step after (22) would be at position (23A). Since position (23A) is in a region of transparency like that of position (21), the iso-surface would not have been detected without the opacification.

According to another embodiment of the present invention, the predetermined selection rule of accumulating in step (c) includes a volume-lighting (see Figure 5).

5        According to further embodiments of the present invention, implementation of the method will be accomplished in parallel. According to one embodiment, step (c) is executed in parallel, by processing for the "each ray-cast vector of any more than one ray-cast vectors requiring the processing of step (c). This may result in allocating a processor (for effecting the

10    algorithm of step (c)) to each cast ray. According to another embodiment, step (d) is executed in parallel; by processing for the each ray-cast vector of any more than one ray-cast vectors requiring the processing of step (d). Likewise, this may result in allocating a processor (for effecting the algorithm of step (d)) to each cast ray.

15        According to the preferred embodiment of the present invention, in step (d), "selecting" of pixels is ordered for progressively generating nested levels of resolution at the virtual window. This selecting may be as described in Figure 2, or in quad-tree fashion, or by simulated zoom (or pan), or the like.

      According to the preferred embodiment of the present invention, each

20    transparency value is associated with a visualization-value for representation on a graphics display device. Furthermore, the representation is for associated color or gray levels.

      In general the present invention is amenable for adaptation to numerous geometric permutations. According to the preferred embodiment of

25    the present invention, a center surface region of the virtual window is placed perpendicular to an orientation vector from the vantage-point; and the orientation vector is an average of the plurality of vectors. Furthermore, the virtual window is planar. Alternately, the virtual window is curved or warped. Likewise the pixels are preferentially arranged in the virtual window as a



uniformly spaced rectangular grid. However alternatively, the pixels are arranged in the virtual window using circular coordinates, elliptic coordinates, or another conic projection of coordinates.

The method of the present invention, according to the preferred and  
5 the basic embodiments is directed to rendering the voxel space contains data derived from a Computer Tomographic (CT) scan, Magnetic Resonance Image (MRI), an ultrasound scan, a Nuclear Magnetic Resonance (NMR) scan, a geophysical survey, a meteorological survey, a scientific simulation, an animation from a model having more than two dimensions, or a set of  
10 simultaneous equations.

Accordingly, in the preferred embodiment of the present invention, the virtual window; having calculated, interpolated, or accumulated visualization-values stored in the pixels thereof; is rendered onto a display device.

15 (Turning now to **Figure 5** which is a flowchart summarizing the basic logic process of the present method.) The follow notes are helpful in further understanding the detailed implementation of the present invention:

- ♦ "pass" denotes the iteration "phase", as measured from sparsely cast rays to higher resolution ray casting (or interpolation in the virtual  
20 window) to complete filling of the virtual window (as in step (d)) – (also see Figure 2).
- ♦ "pixel" denotes one or more data storage "values" corresponding to a location or region of the virtual window.
- ♦ "CT" denotes cumulative transparency.
- 25 ♦ "(R, G, B)" denotes the red, green, and blue components of the visualization value.
- ♦ "T" denotes transparency value.
- ♦ "X += Y" denotes X is replaced with X+Y.
- ♦ "X \*= Y" denotes X is replaced with X\*Y.

The method of the present invention has been described with a certain degree of particularity with regard to ordering of steps, sub-steps, conditions, equivalents, and the like. This degree of particularity is not intended to limit  
5 the scope or spirit of the present invention, and is presented only for the purpose of conveying to those versed in the art such information as is necessary to properly appreciate the present invention and enabled embodiments thereof.

- 10 The present invention also relates to a computer system for forming a perspective rendering from a voxel space. (Turning now to **Figure 6** which is a schematic diagram of a computer system for forming a perspective rendering from a voxel space.) This system includes:
- a first memory media (61) wherein a voxel space is stored or  
15 represented;
  - a computer processor (62) having data communications with the first memory media and with a second memory media, and the processor forms a virtual window of visualization pixels from a ray-casting into the voxel space, wherein the forming is according to the method for forming a high  
20 spatial resolution perspective rendering from a low spatial resolution voxel space, substantially as herein-before defined and illustrated; and
  - the second memory media (63) wherein the virtual window of visualization pixels is stored or represented.
-

CLAIMS:

1. A method for forming a high spatial resolution perspective rendering from a low spatial resolution voxel space, the method comprising the steps of:  
5 of: (a) **initializing** a virtual window of predetermined resolution pixels, and placing the virtual window in or near the voxel space; (b) from a predetermined vantage-point, sparsely **ray-casting** a plurality of vectors through the virtual window into the voxel space; (c) **calculating** a visualization-value for each ray-cast vector not having an associated  
10 visualization-value, and therein for each position in a step dependent series of positions on the vector, and in a position ordering of steps from the vantage-point through the pixel, until an accumulated transparency-value threshold is reached or until a last available voxel intersecting with the vector is reached - whichever is sooner; by: *FIRSTLY*  
15 *interpolating* values of proximate voxels into an interpolated voxel value for the position; *SECONDLY transforming* the interpolated voxel value into a derived visualization-value and transparency value; and *THIRDLY*, using a predetermined selection rule, *accumulating* the derived visualization-value with a value presently stored in the corresponding  
20 pixel; and (d) until every pixel has an associated visualization-value, **selecting** a pixel (P) not yet having a visualization value, and *IF* substantially nearest pixels to the selected pixel (P) have computed visualization-values that are statistically homogenous, *THEN* **interpolating** a visualization-value from these substantially nearest pixels,  
25 and **assigning** the interpolated visualization-value to the selected pixel (P), *ELSE* **ray-casting** a vector through the selected pixel (P) and **assigning** a visualization-value to the selected pixel (P) using *step (c)*.

2. The method according to claim 1 wherein a next position in *step (c)*, in the step-dependent series of positions along the vector, is selected using a variable step size from a present position; and the variable step size depends on an associated transparency for the transparency value of said present position.  
5
3. The method according to claim 2 wherein the step size from the present position to the next position is never greater than a voxel cross-section for the voxel space.
4. The method according to claim 2 wherein a first present position along the vector is at the pixel of the virtual window corresponding to said vector.  
10
5. The method according to claim 2 wherein every next position is tested such that IF an interpolated class dependent iso-surface has been detected along the vector between the next position and the present position, by virtue of the indication that the transparency value of the next position is greater than that of the present position, THEN at least one position between the present and next positions is examined to provide a higher spatial resolution positioning for the iso-surface.  
15
6. The method according to claim 5 wherein, between firstly the at least one position and secondly either the present or next positions, a further at least one position is examined to provide a higher spatial resolution positioning for the iso-surface.  
20
7. The method according to claim 6 wherein further examinations of positions are performed until a predetermined precision is achieved in locating the detected iso-surface.  
25
8. The method according to claim 2 wherein every next position is tested such that IF a class dependent iso-surface has been detected along the vector between the next position and the present position, by virtue of the indication that the transparency value of the next position is greater than

that of the present position, THEN a position between the present and next positions is assigned as the location for the detected iso-surface.

9. The method according to claim 5 or 8 wherein a visualization-value for the detected iso-surface is applied to the vector's associated pixel using a contiguous gradient to the vector iso-surface intersection location or from proximate elements of the intersection, by **calculating** a current surface lighting contribution to the cumulative visualization-value, and **accumulating** this surface lighting visualization-value into said pixel.
10. The method according to claim 1 wherein an interpolated voxel value is calculated for a position along the vector from proximate voxels: using cubic interpolation for a position close to the vantage-point, or using linear interpolation for a position far from the vantage-point, or using a distance dependent graded mixture of cubic and linear interpolation for a position of a predetermined intermediate distance from the vantage-point.
11. The method according to claim 1 wherein accumulating a transparency value into a pixel is by updating the present transparency value for the pixel:  $CT* = T^{\text{current step size}}$ .
12. The method according to claim 1 wherein step (c) is executed in parallel; by processing for said each ray-cast vector of any more than one ray-cast vectors requiring the processing of said step.
13. The method according to claim 1 wherein step (d) is executed in parallel; by processing for said each ray-cast vector of any more than one ray-cast vectors requiring the processing of said step.
14. The method according to claim 1 wherein each interpolated voxel value is associated with a visualization-value for representation on a graphics display device.
15. The method according to claim 14 wherein the representation is for associated color or gray level.

16. The method according to claim 1 wherein statistically homogenous is measured with respect to computed values stored in pixels or with respect to depth factors associated therewith.
  17. The method according to claim 1 wherein a center surface region of the  
5 virtual window is placed perpendicular to an orientation vector from the vantage-point; and the orientation vector is an average of the plurality of vectors.
  18. The method according to claim 1 wherein the virtual window is planar.
  19. The method according to claim 1 wherein the virtual window is curved  
10 or warped.
  20. The method according to claim 1 wherein the pixels are arranged in the virtual window as a uniformly spaced rectangular grid.
  21. The method according to claim 1 wherein the pixels are arranged in the virtual window using circular coordinates, elliptic coordinates, or another  
15 conic projection of coordinates.
  22. The method according to claim 1 wherein the voxel space contains data derived from a Computer Tomographic (CT) scan, Magnetic Resonance Image (MRI), an ultrasound scan, a Nuclear Magnetic Resonance (NMR) scan, a geophysical survey, a meteorological survey, a scientific  
20 simulation, an animation model having more than two dimensions, or a set of simultaneous equations.
  23. The method according to claim 1 wherein, in step (d), "selecting" of pixels is ordered for progressively generating nested levels of resolution at the virtual window.
  24. The method according to claim 1 wherein the virtual window; having  
25 calculated, interpolated, or accumulated visualization-values stored in the pixels thereof; is rendered onto a display device.
-

25. The method according to claim 1 wherein the predetermined selection rule of accumulating in step (c) includes a front surface detection and a surface-lighting.
26. The method according to claims 1 and 25 wherein selecting a next position in the step dependent series of positions is coordinated with an opacification process.
27. The method according to claim 1 wherein the predetermined selection rule of accumulating in step (c) includes a volume-lighting.
28. A computer system for forming a perspective rendering from a voxel space including:
- (I) a first memory media wherein a voxel space is stored or represented;
  - (II) a computer processor having data communications with the first memory media and with a second memory media, and the processor forms a virtual window of visualization pixels from a ray-casting into the voxel space, wherein the forming is according to the method for forming a high spatial resolution perspective rendering from a low spatial resolution voxel space, substantially as herein-before defined and illustrated; and
  - (III) the second memory media wherein the virtual window of visualization pixels is stored or represented.

For the Applicants,  
**REINHOLD COHN AND PARTNERS**  
By:

*Christian Seibert*

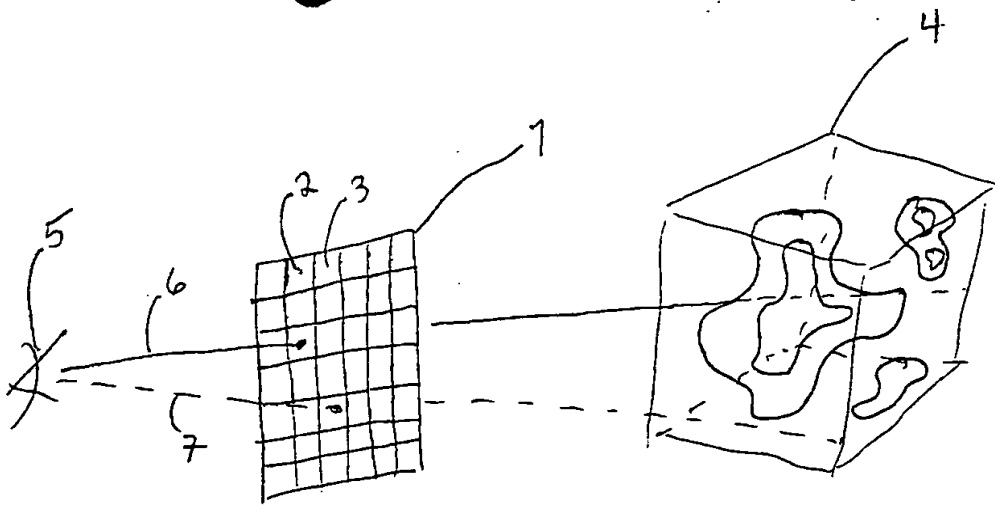


FIG 1







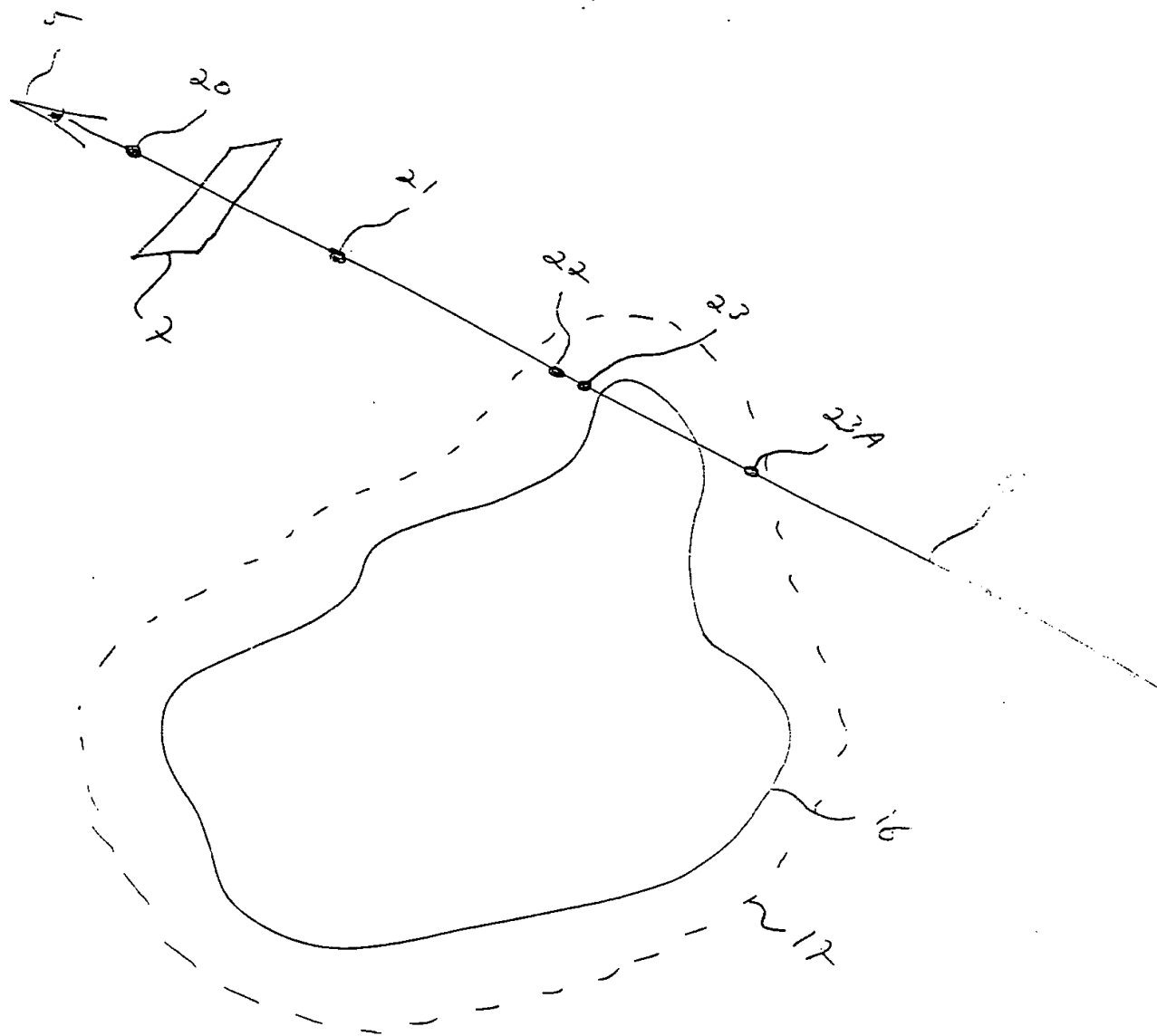


FIG. 4

Begin

pass loop

loop on the pass number,  
e.g. for figure 2, pass = ~~1~~ 1, 2, 3

loop ended

End

pixel loop

in loop

loop on the pixel in the virtual window according to the pass number. e.g. in figure 2, the loop is on pixels 41, 42, 43 etc. if pass = ~~1~~ 1, pixels 44, 45, 46 etc. if pass = 2 and pixels 47, 48, 49 etc. if pass = 3.

loop ended

in loop

YES

pass = 1?

NO

attempt to interpolate pixel from its neighbors.

check if the visualization value of the previously computed neighbor pixels and/or their depths are statistically close enough to each other.

e.g., in fig. 2, assume pass = ~~1~~ 3, pixel = ~~41~~ 48. Then pixels ~~44, 45, 46~~ and ~~47~~ are the previously computed values in pixels 44, 45, 46 and 47 are compared and if found homogeneous enough these values are used to interpolate the visualization value of pixel 48.

NO

YES

interpolate the pixel visualization value and depth using its neighbors.

A

B

A

FIG 5 pt 2/3

initialization

initialize ray-front location, e.g. to the (virtual) eye's location. Calculate the ray's direction ( $\text{ray}$ ) by the vector going from the eye to the pixel in the virtual screen. Set  $ct$  (Cumulative Transparency) = 1,  $(r, g, b) = (0, 0, 0)$ ,  $T(\text{transparency}) = 1$ , ~~transparency~~  $T_1 = 0$ .

interpolate voxel values

interpolate voxel values at the exact location of the ray-front using a <sup>tri-</sup>cubic or trilinear interpolation scheme, depending on the distance from the eye.

find visualization and transparency values.

Use look-up tables to obtain visualization ~~and~~  $(r, g, b)$  and transparency  $t_0$  from the interpolated voxel value.

calculate the current step-size from  $t_0$

check class entrance

transparency  $(t_0) < \text{previous transparency } (t_1)$ ?

if it is, this means that a new more opaque class was entered.

binary search for class

YES  
entrance.

find accurate opacity increase point using a binary search, i.e. check the midpoint between the current ray-front and the previous ray-front, and continue until satisfactory precision is attained.

**THIS PAGE BLANK (USPTO)**

---

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☐ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**

---